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10/735976

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Spinal Concepts, Inc.

Applicants: (See Attachment A)

Patent No.: (See Attachment A)

Issue Date: (See Attachment A)

Serial No.: (See Attachment A)

Filed: (See Attachment A)

For: (See Attachment A)

Attorney Docket No.: (See Attachment A)

Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail

addressed to as follows:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: August 19, 2004.

Kimberly A. Jorio

Date

in Blalot

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Enclosed herewith for the patent application identified in Attachment A, attached herewith, are the following:

- 1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing each Application/Patent No. (6 Pages);
- 2. Statement Under 37 CFR 3.73(b) with Attachment A listing each Application, Patent, Reel and Frame No. (6 Pages);
- 3. Notification of Loss of Entity with Attachment A listing each Application/Patent No. (6 Pages); and
- 4. Return Receipt Postcard.

The Commissioner is herby authorized to charge any additional Filing Fees required under 37 CFR §1.16, as well as any patent application processing fees under 37 CFR §1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025.

Respectfully submitted, Spinal Concepts, Inc.

ABBOTT LABORATORIES

Customer No.: 23492

Telephone: (847) 938-7576 Facsimile: (847) 938-2623

Beth A. Vrioni

Registration No. 39,869 Attorney for Applicants

PTO/SB/96 (06-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Spinal Concepts, Inc. Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment A Entitled: See Attachment A Spinal Concepts, Inc. , a <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. It the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. [r] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. (See Attachment A) OR B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: -· To: -The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel\_\_\_\_\_, Frame , or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. [ ] Additional documents in the chain of title are listed on a supplemental sheet. [ ] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Jeffrey R. Binder syped or printed name (512) 918-2700 Telephone number Signature Vice President, Spinal Concepts, Inc. Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application No.	Hillinoi Derte	Status	Salina Derica	Pakentina	Visit Swift State (12)		FirstWemed	Assignmentikeedraeme Ne. 8.
09/691279		Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	er	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	1	008359/0658 Recorded 1/21/97
09/434324	11/4/1999	Filed			5259-001001802	Method and Apparatus for Spinal Eivation	Frik - Westner	008359/0658 Recorded 1/21/97; 008802/0192 Recorded 12/31/97; 014210/0603
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

<u>Anplietion (No.</u>	ज्याक्रिक विहर्क	डाग्रह	enzal ensej	PatentiNo	Artomey/Docket/No.	100 mg/s	HistiNemed Inventor	Assignment) Resulterme No. ය. Reconfilm Oct.
10/036012	12/26/2001	Filed			5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

Application Nov Filling; Date:	Filing/Date	Status:	issue Date	issue Date.   Patent≀No.	Attorney Docket No.	Spile Spile	Hrst(Nemed Inventor	Assignment ResUrkimo No. 3. Recordation fate
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070268	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/622891	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Intervertebral Space Shape Conforming Cage Device	Joseph P. Errico	009490/0692 Recorded 10/15/98
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USO1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/298269	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded 10/29/99
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 9/13/00
10/015206	11/19/2001	Filed		que	5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
10/735976	12/15/2003	Filed			5259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

Application No.	FilingiDate	Status	ssve Dare	PatentiNol	Afforney Dockets No.		First (Named Inventor	<u> Assignmenti kesili temoliko. ය.</u> Reconfetimi (202
						System and Method for Stabilizing the Human Spine		
09/4/9458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
						Instrument and Method for		
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
						Instrument and Method for		
09/478923	1/6/2000	Granted	9/10/2002	6447512	5250-0400011504	Implanting an Interbody	Michael E.	00/3/3 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
		201	200700	2101110	3233-04000301	rusion Device	Landry	U I U / 65/U3U3 Recorded 5/5/U0
						Instrument and Method for Implanting an Interbody	Michael E.	012032/0293 Recorded 7/31/01
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Fusion Device	Landry	013006/0228 Recorded 5/23/02
						Instrumentation and		
10/007000	00000	ī				Procedure for Implanting	Michael E.	
10/36/329	3/11/2003	Filed			5259-04500USO1	Spinal Implant Devices	Landry	014380/0261 Recorded 8/11/03
						Instrumentation and Procedure for Implanting	Michael E.	
10/387363	3/11/2003	Filed			5259-04500USO2	Spinal Implant Devices	Landry	014403/0794 Recorded 8/13/03
						Sinal Implant Including a	Michael E.	
10/387361	3/11/2003	Filed			5259-04500USO3	Compressible Connector	Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
0000		i				Transverse Connector with	Grady G.	
09/684628	10/6/2000	Filed			5259-04700USO1	Carn Activated Engagers	McBride	011515/0894 Recorded 2/12/01
00/694040	40,000	i				Adjustable Transverse	Grady G.	
03/004210	10/0/2000	Filed			5259-04800USO1	Connector	McBride	011518/0637 Recorded 2/12/01
						Adjustable Transverse	Grady G	
09/680756	10/6/2000	Filed			5259-04900USO1	Activated Engagers	McBride	011593/0900 Recorded 3/15/01
						Surgical Implants for Use as	David J.	
10/337219	1/6/2003	Filed			5259-07800USO1	Spinal Spacers	Krueger	014157/0620 Recorded 6/6/03
700000	740,000	i				Spinal Stabilization System	Margaret E.	013449/0035 Recorded
10/200024	7/19/2002	riled			5259-07900USO1	and Method	Mitchell	10/29/02
10,004,045	44,00000	ī					Javier Garcia-	
10/291243	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Bengchoa	013796/0202 Recorded 2/24/03

Application No.	Filing/Date	Status	ssue Date	PatentiNol	ol   Attorney Docket No.	TIDELESS	Files(Nemed) Inventor	AssignmentiRecurramo No. 23 Recordation Date
10/204222	14 /8/2002	7				Instruments and Methods for	Javier Garcia-	
10/23/223	11/0/2002	riled			5259-08300USO2	lant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed		**	5259-09200USQ1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
						Spinal Plate System for		
10/351283	1/23/2003	Filed		77	5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
						Spinal Plate System for		
10/351288	1/24/2003	Filed		7	5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
						Bone Fastner Assembly for	Mish of P	
10/698049	10/30/2003	Filed			5259-10700USO1	a spinal stabilization System	Michael E. Landry	Assignment filed 4/2/04 Reel/Frame not vet received
						Spinal Stabilization Systems		
							Michael F	
10/698010	10/30/2003	Filed			5259-10700USO2	Procedures	Landry	Assignment med 3/30/04 Reel/Frame not vet received
						lization Systems	Michael E.	Assignment filed 3/29/04
10/697793	10/30/2003	Filed			5259-10700USO3	and Methods	Landry	Reel/Frame not yet received
						Spinal Stabilization System	Michael E.	Assignment filed 3/31/04
10/698046	10/30/2003	Filed			5259-10800USO1	Using Flexible Members	Landry	Reel/Frame not yet received
1			_				James F.	Assignment Filed 3/12/2004;
10/698966	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	Marino	Reel/Frame not yet received
							David J.	Assignment filed 4/2/04
10/699618	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	Krueger	Reel/Frame not yet received
70770		i					Reginald J.	
10/331191	12/21/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03

### Practitioner Docket No. (See Attachment A) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT** 

Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court Bldg. F Austin, TX 78727

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)** 

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 6 19 04

Signatur

Kimberly A. Iorio

Application No Filling Day	Fill 50	000000	COLO CONTRACTOR				First Named	
09/691279	10/17/2000	Grante	<i>`</i> `	6562040	5259_0010011501	Spinal Fivation Syctom		Recordation Date
937500,00	000700						Lin J. Wagile	UCCSSS/UCS RECOIDED 1/2 1/9/
03/032/30	0/8/ 1880	Granted	0002// L/01	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
						Method and Annarative for		008359/0658 Recorded 1/21/97; 008802/0192 Recorded
09/434324	11/4/1999	Filed			5259-00100USD2	Spinal Fixation	Erik J. Wagner	Recorded 6/26/03
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09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
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09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
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09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

Applie: (Jon No.)	alling Date	Seine	ssmo Deto	Ferenda	Attorney Poeken No.		First Named Inventor	Assignmenti Reel/Frame No. 8. Referente flere
10/036012	12/26/2001	Filed				System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
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	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
08/265005	6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	sable Socket	Erik J. Wagner	
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
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09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

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AssignmentiReel/Frame No. 8. Recordation Date	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009490/0692 Recorded 10/15/98	James P. Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	011073/0752 Recorded 9/13/00
First Named Inventor	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	James P. Errico	Joseph P. Errico	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner
THE WITH THE	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method
Attorney Docket No.	5259-02300USD1	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1
Patenti Nos.	6017344	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566
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Sertins	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted
FIlling Date	3/4/1999	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000
Application No. Filing Pare	09/261977	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387

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ArstiNamed - Assignment Reel/Frame No. 8. Inventor - Assignment Recordation Date	Jim Freid	Jim Freid	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E Landry	Robert J. Jones 012557/0740 Recorded 1/30/02	Grady G McBride	Grady G McBride	Grady G McBride	David J
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	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate	Instrument and Method for Implanting an Interbody Fusion Device	Instrument and Method for Implanting an Interbody Fusion Device	Instrument and Method for Implanting an Interbody Fusion Device	Instrumentation and Procedure for Implanting Spinal Implant Devices	Instrumentation and Procedure for Implanting Spinal Implant Devices	Sinal Implant Including a Compressible Connector	Spinal Rod Translation Instrument	Transverse Connector with Cam Activated Engagers	Adjustable Transverse Connector	Adjustable Transverse Connector with Cam Activated Engagers	Surgical Implants for Use
	and Me ing the Sone Pla	and Me ing the sone Pla	ent and ing an I Device	ent and ing an I Device	ent and ing an I Device	Instrumentation and Procedure for Impla Spinal Implant Devic	Instrumentation and Procedure for Impla Spinal Implant Devic	Inplant In	Rod Tra	erse Co ctivated	ble Tra	Adjustable Transvers Connector with Cam Activated Engagers	I Impla
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Application No.	10/015206	09/479458	09/961758	09/478923	09/755183	10/387329	10/387363	10/387361	09/952209	09/684628	09/684218	09/680756	
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Application No.	Filling Date	Saitus	issue/Date	Patent No.	O. Attorney Docket No. Title		First Named?	First Named   Assignment Reel/Frame No. 8
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E.	013449/0035 Recorded
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03
10/291223	11/8/2002	Filed			5259-08300USO2	Instruments and Methods for Javier Garcia- Inserting a Spinal Implant Bengchoa	Javier Garcia- Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed	,		5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014397/0674 Recorded 8/14/03
10/351288	1/24/2003	Filed			5259-09900USO2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/035052	12/28/2001	Granted	5/18/2004	6736850	5259-12300USO1	Pseudo Arthrosis Device	Reginald J. Davis	
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03

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PTO/SB/81 (06-04) Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **POWER OF ATTORNEY** and **CORRESPONDENCE ADDRESS** INDICATION FORM

	THE WAR THE STATE OF THE PARTY
Application Number	See Attachment A
Filing Date	See Attachment A
First Named Inventor	See Attachment A
Title	See Attachment A
Art Unit	
Examiner Name	
Attorney Docket Number	See Attachment A

I harabu assaisti						
I hereby appoint:						
Practitioners associated with the Customer Number:	23492					
OR						
Practitioner(s) named below:						
Name	Registration Number					
as my/our attorney(s) or agent(s) to prosecute the application Trademark Office connected therewith.	identified above, and to transact all business in the United States Patent and					
Please recognize or change the correspondence address for t	he above-identified application to:					
The address associated with the above-mentioned C	ustomer Number:					
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The address associated with Customer Number:						
OR						
Firm or Individual Name						
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City	State Zip					
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Applicant/Inventor.						
Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)						
	gnee, put name, title and company name in the "Name" space below)					
Name Jeffrey R. Binder						
Name Jeffrey R. Binder Signature						
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Signature	(0.17) 0.10					

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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							First Wemed	Assignment Reell Frame No. 3.
Application NO.	40/47/000	Status	Issue Date	gatent No		· Little Addition	12540	Recordation Date 1855
09/6912/9	10/17/2000	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008359/0658 Recorded 1/21/97; 008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

Application (No.	Filling Dates	Status	Status   Essue Date: Patentin	Patentino	Attorney Docker No.		Files (Nemed	AssignmentkesUrremotto යි. Progrephents
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71.0950/01	12/26/2001	Filed			5259-00600USC1	with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454760	4250 ODEOO! 12D4	System and Method for Stabilizing the Human Spine		
		30	2007::-20	201100	1223-000000 I	Will a bolle Flate	Erik J. wagner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
						Occipito Copical		
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
							Stephen	
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Houchschuler	009650/0211 Recorded 11/9/98
0.00		(	!			Side Mounted Polyaxial	Joseph P.	
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Pedicle Screw	Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
						Sliding Shaft Variable		
09/059108	4/13/1998	Granted	10/31/2000	6130518	5250 032001SC4	for Use with Dual Rod	Joseph P.	
		no n	10007115000	040000	3233-022000301	Apparatus	Errico	009275/0021 Recorded 6/30/98
						Sliding Shaft Variable		
						for Use with Dual Rod	Joseph P.	
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Apparatus	Errico	009275/0021 Recorded 6/30/98
						Polvaxial Pedicle Screw		
00,070,00	0000000					Having a Through Bar	Joseph P.	
03/07/02/34	4/30/1998	Granted	10/5/1898	5961518	5259-02300USC1	Clamp Locking Mechanism	Errico	009275/0021 Recorded 6/30/98
		•		. 1-3-4:		Polyaxial Pedicle Screw		
726192/50	3/4/1000	Granted	1/25/2000	6047244			Joseph P.	
	1	Clanted	1,23/2000	0017344	3239-U23UUUSU 1	Clamp Locking Mechanism	Errico	009275/0021 Recorded 6/30/98

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First Named Inventor	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	James P. Errico	Joseph P. Errico	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Jim Freid	Jim Freid
	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate
Attorney Docket No.	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1	5259-03700USC1	5259-03700USC2
Patent No.	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566	, амере (	
Ssue Date: Patenth	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003		
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Application No. Filling Date:	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387	10/015206	10/735976

Application No.	Illing Date	Statius	Issue Date: Patentin		Attorney/Bocket/No:	enti	Filest)Nemed Inventor	Assignmentkreel/FemoNo. ය Recordedon මෙය
09/479458	1/6/2000	Granted	12/18/2001		5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	lim Freid	010732J0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003		5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E.	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueqer	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

## Power of Attorney and Change of Correspondence

GlissiNemed   AssignmentsReeUrfermoMo. &   Inventor:   Recordation Data	013786/0320 Recorded 2/21/03	014181/0907 Recorded 6/16/03	014397/0674 Recorded 8/14/03	014302/0118 Recorded 7/15/03	012823/0549 Recorded 4/22/02	Assignment filed 4/2/04 Reel/Frame not vet received	Assignment filed 3/30/04	Reel/Frame not yet received	Assignment filed 3/29/04 Reel/Frame not yet received	Assignment filed 3/31/04 Reel/Frame not yet received	Assignment Filed 3/12/2004; Reel/Frame not yet received	Assignment filed 4/2/04 Reel/Frame not yet received	014164/0207 Recorded 6/12/03
Filts(Named Inventor	Javier Garcia- Benochoa	Jim Freid	Jim Freid	Jim Freid	Jim Freid	Michael E. Landry	Michael E.	Landry	Michael E. Landry	Michael E. Landry	James F. Marino	David J. Krueger	Reginald J. Davis
	Instruments and Methods for Javier Garcia- Inserting a Spinal Implant Benochoa	Spinal Plate Extender System and Method	Spinal Plate System for Stabilizing a Portion of a Spine	Spinal Plate System for Stabilizing a Portion of a Spine	Extension Plate	Bone Fastner Assembly for a Spinal Stabilization System	Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical	Procedures	Spinal Stabilization Systems and Methods	Spinal Stabilization System Using Flexible Members	Spinal Disc Implant	Movable Disc Implant	Pseudo Arthrosis Device
O. Attorney Docket No.   Title	5259-08300USO2	5259-09200USO1	5259-09900USO1	5259-099001802	5259-10000USO1	5259-10700USO1		5259-10700USO2	5259-10700USO3	5259-10800USO1	5259-11100USO1	5259-11300USO1	5259-12300USP1
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Status	Filed	Filed	Filed	Filed	Filed	Filed		Filed	Filed	Filed	Filed	Filed	Filed
Filing Date	11/8/2002	1/31/2003	1/23/2003	1/24/2003	2/1/2002	10/30/2003		10/30/2003	10/30/2003	10/30/2003	10/31/2003	10/31/2003	12/27/2002
Application No.	10/291223	10/356391	10/351283	10/351288	29/155057	10/698049		10/698010	10/697793	10/698046	10/698966	10/699618	10/331191